

Analysis of entropic cross-diffusion systems of hyperbolic-parabolic type

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Thermodynamic framework

$$\dot{\mathbf{z}} = -\mathcal{K}(\mathbf{z})D\mathcal{E}(\mathbf{z})$$

- ▶ State variable $\mathbf{z} = \mathbf{z}(t)$
- ▶ Driving functional $\mathcal{E} = \mathcal{E}(\mathbf{z})$
- ▶ Onsager operator \mathcal{K} such that $\mathcal{K}(\mathbf{z})$ is symmetric and positive semi-definite for all \mathbf{z}

Example (multi-component diffusion)

$\mathbf{z} = u$, $u = (u_1, \dots, u_n)$; $\mathcal{E} = H$ with $H(u) = \int h(u) dx$ with $h \in C^2$ locally strongly convex

$$\mathcal{K}(u)\square = -\operatorname{div}(M(u)\nabla\square), \quad M(u) \in \mathbb{R}_{\operatorname{sym}}^{n \times n}, \quad M(u) \geq 0$$

\implies Evolution equation $\partial_t u = \operatorname{div}(A(u)\nabla u)$ with $A(u) = M(u)D^2h(u)$

If $\operatorname{rank}(A(u)) = n$, then $\sigma(A(u)) \subset \mathbb{R}_+ \rightsquigarrow$ parabolic.

Focus of this talk: $\operatorname{rank} M(u) < n$

Outline

1 Population dynamics

- Hyperbolic–parabolic normal form
- Young measure framework

2 Viscoelastic phase separation

- Formal sharp-interface asymptotics towards nonlocal/fractional surface diffusion laws

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Directed motion to avoid crowding / Contact inhibition

System of n species with partial densities $u = (u_1, \dots, u_n)$ and partial velocity fields $\mathbf{v}_1, \dots, \mathbf{v}_n$.

$$\partial_t u_i + \operatorname{div}(u_i \mathbf{v}_i) = 0, \quad i=1, \dots, n,$$

for $t > 0, x \in \Omega \subseteq \mathbb{R}^d$.

Phenomenological closure [Gurtin, Pipkin \(1984\)](#), [Busenberg, Travis \(1983\)](#):

$$\mathbf{v}_i = -k_i \nabla p, \quad p := \sum_{i=1}^n u_i,$$

where $k_i > 0, i = 1, \dots, n$.

- ▶ If $\mathbf{k}_i = \mathbf{k}$ for all i : [Globally WP Bertsch, Hilhorst, Mimura, Izuhara \(2012\)](#) linear transport eq.
- ▶ For **unequal** \mathbf{k}_i only few results on the initial-value problem:
 - ▶ [Bertsch et al. \(1985\)](#), segregated, 1D: Global existence and uniqueness
 - ▶ [Lorenzi, Lorz, Perthame \(2017\)](#), segregated, source: Instabilities in 2D sim. and 1D travelling wave
 - ▶ [Kim, Tong \(2021\)](#), segregated, 2D, incompressible with source: LWP for nearly radial interface.
 - ▶ [Druet, H, Jüngel \(2023\)](#), mixed data: Local Cauchy theory [H, Jüngel](#): Global measure-valued solutions

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Cauchy theory for mixed initial data

Write PDE system as

$$\partial_t u - \operatorname{div}((\vec{\kappa}(u) \otimes \mathbf{1}) \nabla u) = 0,$$

where $\vec{\kappa}(u) = (k_1 u_1, \dots, k_n u_n)^T$, $\mathbf{1} = (1, \dots, 1)^T$. Space domain \mathbb{T}^d , for simplicity.

- ▶ Kawashima–Shizuta theory **not** applicable ($\ker M(u)$ depends on u)
- ▶ ‘Ad hoc strategy’: cancel 2nd order spatial derivatives in $(n-1)$ components by suitable change of variables $w = \Phi(u)$, where $\Phi : (\mathbb{R}_+)^n \rightarrow \Phi((\mathbb{R}_+)^n)$. For $n=2$, obtain with $w =: (z, p)$,

$$\partial_t z - c(w) \nabla p \cdot \nabla z = -\frac{(k_2 - k_1)}{a(w)} |\nabla p|^2, \quad \partial_t p = \operatorname{div}(a(w) \nabla p),$$

where $c(w) = k_1 + (k_2 - k_1) \frac{k_1 u_1}{a(w)} > 0$, $a(w) = \sum_{i=1}^2 k_i u_i$

- ▶ If $n \geq 3$, need to ensure symmetrisability of hyperbolic subsystem

Generalisation to rank- r diffusions

Consider, more generally,

$$\partial_t u_i + \operatorname{div}(u_i \mathbf{v}_i) = 0, \quad i = 1, \dots, n,$$

with

$$\mathbf{v}_i = -\nabla \left(\sum_{j=1}^n b_{ij} u_j \right),$$

where $B := (b_{ij}) \in \mathbb{R}^{n \times n}$ is such that $B \operatorname{diag}(\lambda) \in \mathbb{R}_{\text{sym}}^{n \times n}$ is positive semi-definite for some $\lambda \in (0, \infty)^n$.

Let $\mathbf{r} := \operatorname{rank} B \in \{1, \dots, n\}$.

Theorem (P.-E. Druet, KH, A. Jüngel, CPDE'23)

There exists a domain $\hat{\mathcal{D}} \subset \mathbb{R}^n$ and a C^∞ -diffeom. $\Phi : (\mathbb{R}_+)^n \rightarrow \hat{\mathcal{D}}$, $u \mapsto w$, such that in the w -variables, the cross-diffusion system can be recast in **symmetric hyperbolic-parabolic form**:

$$A_0^I(w) \partial_t w_I + \sum_{\nu=1}^d A_1^I(w, \partial_{x_\nu} w_{II}) \partial_{x_\nu} w_I = f^I(w, \nabla w_{II}),$$
$$A_0^{II} \partial_t w_{II} - \operatorname{div} \left(A_*^{II}(w) \nabla w_{II} \right) = 0,$$

where $A_0^I : \mathcal{D} \rightarrow \mathbb{R}_{\text{spd}}^{(n-r) \times (n-r)}$, and $A_1^I : \mathcal{D} \times \mathbb{R}^r \rightarrow \mathbb{R}_{\text{sym}}^{(n-r) \times (n-r)}$ is linear in 2nd argument.
 $A_0^{II} \in \mathbb{R}_{\text{spd}}^{r \times r}$ is constant, and $A_*^{II} : \mathcal{D} \rightarrow \mathbb{R}_{\text{spd}}^{r \times r}$, $f^I : \mathcal{D} \times \mathbb{R}^r \rightarrow \mathbb{R}^{n-r}$ is quadratic in 2nd argument.

Theorem ("Corollary")

Smooth positive initial data in $H^s(\mathbb{T}^d)$, $s > \frac{d}{2} + 1 \implies$ Exists unique local classical solution.

Remark: Threshold $s > \frac{d}{2} + 1$ is classical for Friedrichs-symmetrisable hyperbolic systems.

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Nonlinear structure

For $t > 0$, $x \in \mathbb{T}^d$:

$$\partial_t u_i + \operatorname{div}(u_i \mathbf{v}_i) = 0, \quad \mathbf{v}_i = -\nabla(Bu)_i \quad \text{for } i = 1, \dots, n$$

for $B = (b_{ij}) \in \mathbb{R}^{n \times n}$ symmetric positive semi-definite with $b_{ij} \geq 0$, $b_{ii} > 0$.

Formal **gradient-flow** structure $\dot{u} = -\mathcal{K}(u)D\mathcal{E}(u)$, $\mathcal{K}(u)\square = -\operatorname{div}(M(u)\nabla\square)$. Two options:

Driving functional	$\mathcal{E}(u)$	Mobility $M(u)$	
Boltzmann	$H(u) = \int_{\mathbb{T}^d} h(u) dx$	$h(u) = \sum_i u_i \log u_i$	$M_{ik}(u) = u_i b_{ik} u_k$
Rao	$Q(u) = \frac{1}{2} \int_{\mathbb{T}^d} u^T B u dx$		$M_{ik}(u) = u_i \delta_{ik}$ "Otto"

Thus, along smooth positive solutions

$$\frac{d}{dt} H(u) = - \int_{\mathbb{T}^d} |\nabla \sqrt{Bu}|^2 dx, \quad \frac{d}{dt} Q(u) = - \int_{\mathbb{T}^d} \sum_{i=1}^n u_i |\mathbf{v}_i|^2 dx.$$

A priori estimates ... and the problem of compactness

Suppose $(u^{(m)})_m$ is sequence of (approximate) solutions with $u_i^{(m)} \geq 0$ for all i and

$$\operatorname{ess\,sup}_t H(u^{(m)}(t)) \leq C, \quad \operatorname{ess\,sup}_t Q(u^{(m)}(t)) \leq C,$$

$$\int_0^\infty \int_{\mathbb{T}^d} |\nabla \sqrt{B} u^{(m)}|^2 dx d\tau \leq C, \quad \int_0^\infty \int_{\mathbb{T}^d} \sum_i u_i^{(m)} |v_i^{(m)}|^2 dx d\tau \leq C,$$

where $v_i^{(m)} = -\nabla(Bu^{(m)})_i$.

This implies boundedness of $u_i^{(m)}$, $v_i^{(m)}$, and $u_i^{(m)} v_i^{(m)}$ in suitable $L^p(L^q)$, $p, q > 1$.

After passing to a subsequence

$$u^{(m)} \overset{*}{\rightharpoonup} u \text{ in } L^\infty(L^2), \quad v_i^{(m)} \rightharpoonup v_i = \nabla(Bu)_i \text{ in } L^2(L^2), \quad \text{and } u_i^{(m)} v_i^{(m)} \rightharpoonup \overline{u_i^{(m)} v_i^{(m)}} \text{ in } L^2(L^{4/3}).$$

If $\ker B$ is non-trivial, it is unclear whether $\overline{u_i^{(m)} v_i^{(m)}}$ and $u_i v_i$ coincide.

Young measures (YM)

YM as PDE *solution* concept were first considered by DiPerna (1985) for hyperbolic conservation laws.

Associate with each $u^{(m)}$ a parametrised probability measure $\mu^{(m)} = (\mu_{t,x}^{(m)})_{t,x}$ via

$$\mu^{(m)} := \delta_{(u^{(m)}, \nabla \widehat{u}^{(m)})}$$

where $\widehat{u}^{(m)} := P_{(\ker B)^\perp} u^{(m)}$ and $P_{(\ker B)^\perp}$ the projection onto $(\ker B)^\perp \subseteq \mathbb{R}^n$.

Then

$$u_i^{(m)} v_i^{(m)} = - \int_W s_i(Bp)_i d\mu^{(m)}(s, p) =: - \langle \mu^{(m)}, s_i(Bp)_i \rangle$$

where $W := [0, \infty)^n \times (\ker B^\perp)^d$. For every $T > 0$, the sequence

$$(\mu^{(m)})_m \subset L_{w^*}^\infty((0, T) \times \mathbb{T}^d; \mathcal{M}(W)) \simeq (L^1((0, T) \times \mathbb{T}^d; C_0(W)))^*$$

is bounded and hence weakly-* convergent along subsequence.

Dissipative measure-valued–strong uniqueness

We call $U \in C^1([0, T] \times \mathbb{T}^d)^n$ a *strong solution* if it satisfies $\partial_t U_i - \operatorname{div}(U_i \nabla(BU)_i) = 0$ in the weak sense and if it is strictly positive componentwise.

Theorem (KH, A. Jüngel)

Let $U \in C^1([0, T] \times \mathbb{T}^d)^n$ be a strong solution with initial datum $U(0, \cdot) = u^{\text{in}}$, and let μ be a dissipative measure-valued solution. Then

$$\mu_{t,x} = \delta_{U(t,x)} \otimes \delta_{\nabla \widehat{U}(t,x)} \quad \text{for a.e. } (t,x) \in (0, T) \times \mathbb{T}^d.$$

Remarks:

- ▶ Weak-strong uniqueness of measure-valued solutions was first obtained by [Brenier, De Lellis, Székelyhidi \(2011\)](#) for the incompressible Euler equations.
- ▶ The proof is based on the **relative entropy technique** ([Dafermos 1979](#), [DiPerna 1979](#)).

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1 Population dynamics

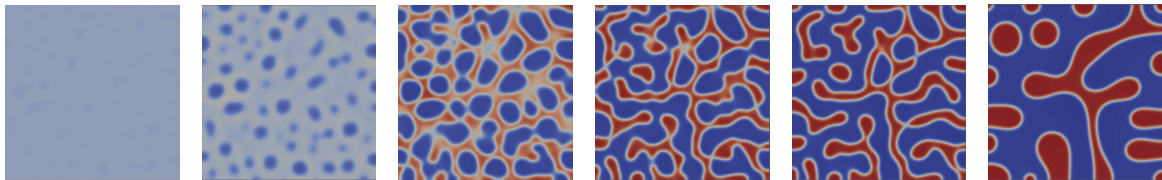
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Viscoelastic phase separation (VPS)

Phase separation in **polymer**–solvent mixture



- ▶ **Zhou, Zhang, E (2006)**: $\mathcal{E}(u, q) = F(u) + \frac{1}{2} \int_{\Omega} q^2 dx$, $F(u) = \int_{\Omega} (\frac{\epsilon^2}{2} |\nabla u|^2 + f(u)) dx$, f Flory–Hug.
 $u = u(t, x) \in [-1, 1]$ order parameter; $q = q(t, x) \in \mathbb{R}$ bulk stress variable

$$\begin{aligned} \partial_t u &= -\operatorname{div}((1-u^2)\mathbf{j}), & \mathbf{j} &= -[(1-u^2)\nabla \frac{\delta F}{\delta u} - \nabla(A(u)q)], & t > 0, x \in \Omega, \\ \partial_t q &= -\frac{1}{\tau(u)}q + A(u)\operatorname{div}\mathbf{j}, & & & t > 0, x \in \Omega, \\ (1-u^2)\mathbf{j} \cdot \nu &= 0, & \nabla u \cdot \nu &= 0, & t > 0, x \in \partial\Omega, \end{aligned}$$

$A(u)$: bulk modulus; $\tau(u)$: relaxation time; $\Omega \subset \mathbb{R}^d$ smooth bounded domain, $d \geq 2$.

- ▶ **Brunk, Lukáčová-Medvid'ová (2022)** with hydrodynamics → later today

Entropic structure

$$\dot{\mathbf{z}} = -\mathcal{K}(\mathbf{z})D\mathcal{E}(\mathbf{z})$$

- ▶ State $\mathbf{z} = (u, q)$
- ▶ Free energy $\mathcal{E}(u, q) = F(u) + \frac{1}{2} \int_{\Omega} q^2 dx$ with $\nabla u \cdot \nu = 0$ on $\partial\Omega$
- ▶ Onsager operator \mathcal{K} : $M(u), L(u) \in \mathbb{R}_{\text{sym}}^{2 \times 2}$ positive semi-definite, $N_1(u) \in \mathbb{R}^{2 \times 2}$

$$\mathcal{K}(u, q)\square = -N_1(u)^T \operatorname{div} (M(u)\nabla(N_1(u)\square)) + L(u)\square \quad \text{with no-flux b.c.}$$

ZZE model:

$$M(u) = N_2(u)m(u)(\mathbf{1} \otimes \mathbf{1})N_2(u), \quad \text{where } \mathbf{1} = (1, 1)^T, \quad L(u) = \operatorname{diag}\left(0, \frac{1}{\tau(u)}\right)$$
$$N_1(u) = \operatorname{diag}(1, -A(u)), \quad N_2(u) = \operatorname{diag}\left(1, \frac{1}{n(u)}\right)$$

- ▶ with $m(u) = (1 - u^2)^2$, $n(u) = 1 - u^2$

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Modified ZZE model:

$$M(u) = N_2(u)m(u)(\mathbf{1} \otimes \mathbf{1})N_2(u), \quad \text{where } \mathbf{1} = (1, 1)^T, \quad L(u) = \operatorname{diag}\left(0, \frac{1}{\tau(u)}\right)$$
$$N_1(u) = \operatorname{diag}(1, -A(u)), \quad N_2(u) = \operatorname{diag}\left(1, \frac{1}{n(u)}\right)$$

- ▶ with $m(u) = 1 - u^2$, $u \mapsto n(u)$ monotonic; $\min_{[-1,1]} |n| > 0$; either $n' \equiv 0$ or $|n'| > 0$ on $[-1, 1]$

Effect of coupling function $n(u)$

$$\begin{aligned}\partial_t u &= -\operatorname{div}(m(u)\mathbf{j}), & \mathbf{j} &= -\left[\nabla w - \frac{1}{n(u)}\nabla(A(u)q)\right], & w &\in \partial_u F_\varepsilon, & t > 0, x \in \Omega, \\ \partial_t q &= -\frac{1}{\tau(u)}q + A(u)\operatorname{div}\left(\frac{m(u)}{n(u)}\mathbf{j}\right), & & & & & t > 0, x \in \Omega, \\ m(u)\mathbf{j} \cdot \nu &= 0, & \nabla u \cdot \nu &= 0, & & & t > 0, x \in \partial\Omega,\end{aligned}$$

with $F_\varepsilon(u) = \int_\Omega \left(\frac{\varepsilon^2}{2}|\nabla u|^2 + f(u)\right) dx$.

Effect of coupling function $n(u)$

$$\partial_t u = -\operatorname{div}(m(u)\mathbf{j}), \quad \mathbf{j} = -\left[\nabla w - \frac{1}{n(u)}\nabla(A(u)q)\right], \quad w \in \partial_u F_\varepsilon, \quad t > 0, x \in \Omega,$$

$$\partial_t q = -\frac{1}{\tau(u)}q + A(u)\operatorname{div}\left(\frac{m(u)}{n(u)}\mathbf{j}\right), \quad t > 0, x \in \Omega,$$

$$m(u)\mathbf{j} \cdot \nu = 0, \quad \nabla u \cdot \nu = 0, \quad t > 0, x \in \partial\Omega,$$

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Effect of coupling function $n(u)$

$$\partial_t u = -\operatorname{div}(m(u)\mathbf{j}), \quad \mathbf{j} = -\left[\nabla w - \frac{1}{n(u)}\nabla(A(u)q)\right], \quad w \in \partial_u F_\varepsilon, \quad t > 0, x \in \Omega,$$

$$\partial_t z = -\frac{1}{\tau(u)}q + A(u)\nabla\left(\frac{1}{n(u)}\right) \cdot m(u)\mathbf{j}, \quad z = q + R(u), \quad R' = \frac{A}{n}, \quad t > 0, x \in \Omega,$$

$$m(u)\mathbf{j} \cdot \nu = 0, \quad \nabla u \cdot \nu = 0, \quad t > 0, x \in \partial\Omega,$$

with $F_\varepsilon(u) = \int_\Omega \left(\frac{\varepsilon^2}{2}|\nabla u|^2 + f(u)\right) dx$.

Later-stage evolution of Cahn–Hilliard type models

Expect curvature flow:

$$V_\Gamma = \mathcal{G}_\Gamma \kappa_\Gamma, \quad V_\Gamma : \text{normal velocity}, \quad \kappa_\Gamma : \text{mean curvature of interface } \Gamma.$$



Degenerate Cahn–Hilliard equation along $t \mapsto \varepsilon^2 t$ and vanishing temperature θ :

- ▶ Formal asymptotics by [Cahn, Elliott, Novick–Cohen \(1996\)](#) yield surface diffusion flow:

$$\mathcal{G}_\Gamma = -\frac{\sigma}{\delta} \Delta_\Gamma, \quad \frac{\sigma}{\delta} = \frac{16}{\pi^2} > 0,$$

[for logarithmic potential with $\theta = O(\varepsilon^\alpha)$, $\alpha > 0$; resp. double-obstacle potential $f^{(\text{DO})}$]

- ▶ Rigorous limit is open

Interface dynamics in VPS (KH, J. R. King, A. Münch, B. Wagner)

(i) If $n \equiv 1$: intermediate surface diffusion flow [Cahn, Taylor (1994)]

$$\mathcal{G}_\Gamma = -\sigma(\delta \text{Id} - \omega \Delta_\Gamma)^{-1} \Delta_\Gamma, \quad \sigma, \delta, \omega > 0.$$

(ii) If $n \in C^\infty(\mathbb{R})$ with $\min_{[-1,1]} |n| > 0$, $\min_{[-1,1]} |n'| > 0$, then $\mathcal{G}_\Gamma : \kappa \rightarrow \mathcal{V}$ is determined by a **constrained elliptic equation**. Given $\kappa = \kappa(s), s \in \Gamma$, find solution $(f, V), f = f(s, u), V = V(s)$:

$$-\partial_u(a \partial_u f) - \tilde{m} \Delta_\Gamma f = \left(1 - \partial_u \left(\frac{n}{n'}\right)\right) V \quad \text{in } \Gamma \times [-1, 1],$$

$$-a \partial_u f = -\frac{n}{n'} V \quad \text{on } \Gamma \times \{\pm 1\},$$






$$\int_{-1}^{+1} \left(f + \frac{n}{n'} \partial_u f\right) du = \sigma \kappa \quad \text{on } \Gamma \quad (\text{"solvability condition"; constraint})$$

For special choice of $m(u), A(u), \tau(u)$:

$$\mathcal{G}_\Gamma = \sigma \eta \sqrt{-\Delta_\Gamma} + \sigma \mathcal{R}(\sqrt{-\Delta_\Gamma}), \quad \mathcal{R} \text{ of lower order}, \quad \eta = \left(\left(\frac{n(1)}{n'(1)}\right)^2 + \left(\frac{n(-1)}{n'(-1)}\right)^2 \right)^{-1}$$

Order of $\mathcal{R}(\sqrt{-\Delta_\Gamma})$ is $-\infty$ if $n = n(u)$ is affine.

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Thank you!

Definition (Dissipative measure-valued dmV solution)

Let $\mu \in L_{W^*}^\infty([0, \infty) \times \mathbb{T}^d; \mathcal{P}(W))$ be parametrised measure and define $u := \langle \mu, s \rangle$ and $\mathbf{y} := \langle \mu, \mathbf{p} \rangle$.

Then, μ is called a dmV solution if for all $T > 0$:

- **Basic regularity:** For $i = 1, \dots, n$

$$u_i \in L^\infty(0, \infty; L^2), \quad \partial_t u \in L^2(0, \infty; (W^{1,4})^*), \quad \mathbf{y} \in L^2((0, T) \times \mathbb{T}^d; (\ker B^\perp)^d), \quad \mathbf{y} = \nabla \hat{u}.$$

Moreover, μ acts trivially on the \hat{s} -component, i.e. for all $f \in C_0(W)$: $\langle \mu, f(s, \mathbf{p}) \rangle = \langle \mu, f(\hat{u} + P_{\ker B} s, \mathbf{p}) \rangle$

- **Dissipation inequalities:** It holds for a.e. $t > 0$ that

$$H^{\text{mv}}(u(t)) + \int_0^t \int_{\mathbb{T}^d} \langle \mu_{\tau, x}, |B^{1/2} \mathbf{p}|^2 \rangle dx d\tau \leq H(u^{\text{in}}), \quad \text{where } H^{\text{mv}}(u(t)) := \int_{\mathbb{T}^d} \langle \mu_{t, x}, h(s) \rangle dx,$$

$$Q(u(t)) + \sum_{i=1}^n \int_0^t \int_{\mathbb{T}^d} \langle \mu_{\tau, x}, s_i |(B\mathbf{p})_i|^2 \rangle dx d\tau \leq Q(u^{\text{in}}).$$

- **Evolution equation:** It holds for all $i = 1, \dots, n$ and $\phi \in C_c^1([0, T) \times \mathbb{T}^d)$ that

$$\int_0^T \int_{\mathbb{T}^d} u_i \partial_t \phi dx dt + \int_{\mathbb{T}^d} u_i^{\text{in}} \phi(0) dx = \int_0^T \int_{\mathbb{T}^d} \langle \mu_{t, x}, s_i (B\mathbf{p})_i \rangle \cdot \nabla \phi dx dt.$$