

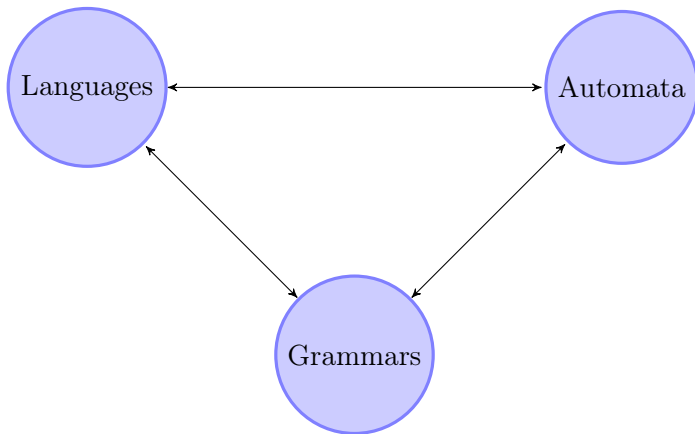
AUTOMATA, LANGUAGES AND MONOIDS I

Jiří Sýkora

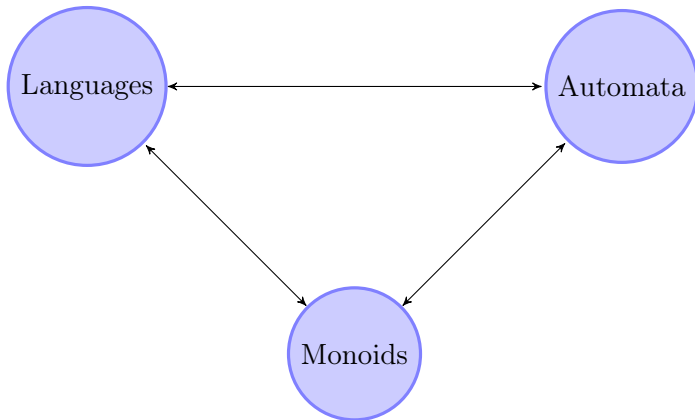
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24th November 2014

Motivation



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- First part: introduction, basic definitions, properties etc.

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- Second part (Adéla): piecewise testable languages

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- Second part (Adéla): piecewise testable languages
- Third part (Miloš): Simon's theorem and its consequences

- First part: Useless
- Second part (Adéla): Useless
- Third part (Miloš): Cancelled

- First part: Not completely useless
- Second part (Adéla): Not completely useless
- Third part: Short summary

Definition

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A *congruence* on a semigroup S is an equivalence relation \sim on S satisfying for each $a, b, c \in S$: $a \sim b \Rightarrow (a \cdot c \sim b \cdot c \wedge c \cdot a \sim c \cdot b)$.

Let A be (finite) a set. We will call it an *alphabet*. Elements of this set are called *letters* or *symbols*.

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Denote by A^* the set of all words over A (the empty sequence is also a word). Then A^* with the associative operation of concatenation forms a *free monoid* on the set A . The neutral element is the empty word λ .

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The set $A^+ = A^* \setminus \{\lambda\}$ with the same operation of concatenation is a *free semigroup* on the set A .

Subwords, factors, etc.

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A word $u = a_1 \dots a_n$, $a_i \in A$ is a *subword* of a word w if there exist words v_0, v_1, \dots, v_n such that $w = v_0a_1v_1 \dots a_nv_n$.

Example

Take a word $u = abacbabc$.

abacbabc

Example

Take a word $u = abacbacb$. Then aba is a prefix of u ,

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Take a word $u = abacbacb$. Then aba is a prefix of u , acb is a suffix of u , $bacb$ is a factor of u and $bcbb$ is a subword of u .

abacbacb

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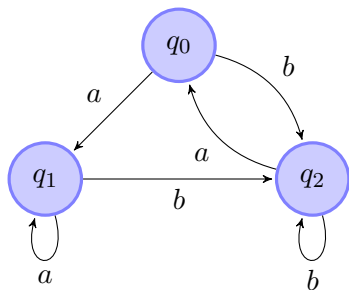
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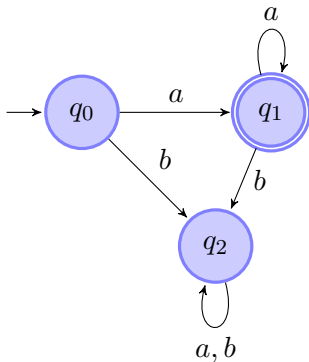
An *automaton* is a triplet $\mathcal{A} = (Q, A, \cdot)$, where Q is a (finite) set of states, A is a finite alphabet and \cdot is a function from $Q \times A$ to Q .

Definition

We say that a language L is *recognized* by an automaton $\mathcal{A} = (Q, A, \cdot)$ if there exists a state $q_0 \in Q$ and a set of states F such that $u \in L$ iff $q_0 \cdot u \in F$.

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Let A be a finite alphabet. The set of *regular languages* (also *rational languages*) over A is the smallest set of languages of A^* such that

- 1 the empty language \emptyset is regular,
- 2 for every word $u \in A^*$, the language $\{u\}$ is regular,
- 3 if L_1 and L_2 are regular languages, then $L_1 \cup L_2$, $L_1 \cdot L_2$ and L_1^* are also regular.

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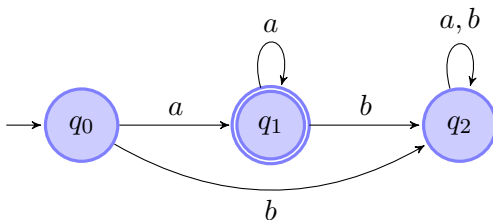
Example

$L = \{a^k \mid k \geq 1\} = \{a\} \cdot \{a\}^*$ is a regular language.

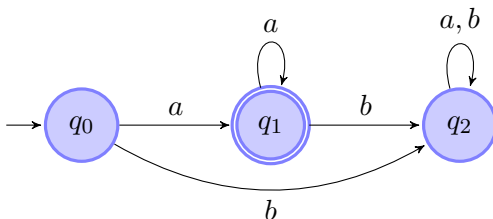
Definition

Let $\mathcal{A} = (Q, A, \cdot)$ be an automaton. We can extend the operation \cdot to a function from $Q \times A^*$ to Q by the following rules: $q \cdot \lambda = q$ and $q \cdot (wa) = (q \cdot w) \cdot a$ where $q \in Q, w \in A^*$ and $a \in A$. Each word from A^* thus defines a function from Q to Q . The monoid generated by all these functions (w varying over A^*) is called the *transition monoid* of the automaton \mathcal{A} . It is denoted $M(\mathcal{A})$.

Examples



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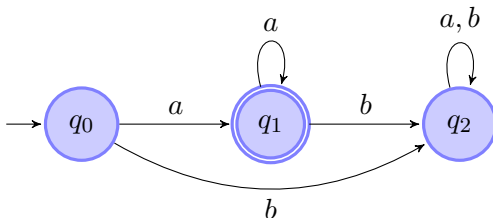


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$$q_0 \cdot a = q_1 \quad q_1 \cdot a = q_1 \quad q_2 \cdot a = q_2$$

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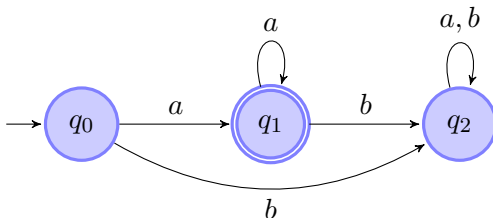
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So we have: $f_a(q_0) = q_1$, $f_a(q_1) = q_1$, $f_a(q_2) = q_2$ and $f_b(q_0) = q_2$, $f_b(q_1) = q_2$, $f_b(q_2) = q_2$.

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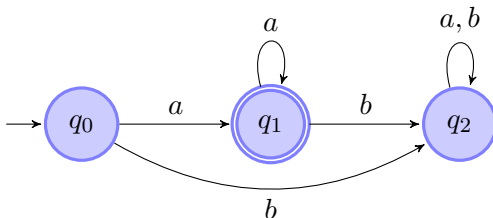
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Theorem

A language $L \subseteq A^$ is regular iff it is recognizable.*

Remark

Regular \Leftrightarrow recognizable \Leftrightarrow recognized by a finite automaton.

Examples

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The language $\{a^i b^i \mid i \geq 0\}$ is not regular.

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Proof.

Suppose there exists a finite automaton which recognizes the language. This automaton has n states. Take a word $a^n b^n$. Then, when reading a 's, there must be some loop. We can repeat this path once again, i.e. the automaton would accept the word $a^{n+\ell} b^n$ for some $\ell \geq 1$. However, this word does not belong to the language. □

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Let $L \subseteq A^*$ be a language. We define the *syntactic* congruence of L (denoted \sim_L) on A^* by $u \sim_L v$ iff $xuy \in L \Leftrightarrow xvy \in L$ for every $x, y \in A^*$. The *syntactic monoid* of L is then defined as $M(L) = A^*/\sim_L$.

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Example

Let $L = \{a^k \mid k \geq 1\}$. Then there are three equivalence classes of \sim_L , namely $[a]_{\sim_L} = \{u \in A^* \mid u \neq \lambda, |u|_b = 0\}$, $[b]_{\sim_L} = \{u \in A^* \mid |u|_b \geq 1\}$ and $[\lambda]_{\sim_L} = \{\lambda\}$.

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\cdot	$[\lambda]_{\sim_L}$	$[a]_{\sim_L}$	$[b]_{\sim_L}$
$[\lambda]_{\sim_L}$	$[\lambda]_{\sim_L}$	$[a]_{\sim_L}$	$[b]_{\sim_L}$
$[a]_{\sim_L}$	$[a]_{\sim_L}$	$[b]_{\sim_L}$	$[b]_{\sim_L}$
$[b]_{\sim_L}$	$[b]_{\sim_L}$	$[b]_{\sim_L}$	$[b]_{\sim_L}$

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A monoid M recognizes a language L iff $M(L)$ divides M .

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Let L be a regular language. Then there exists a uniquely determined (up to renaming of states) finite automaton recognizing L that has a minimum number of states among the automata recognizing L . It is called the minimal automaton of L .

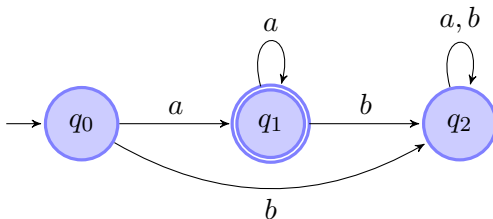
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Example

Take $L = \{a^k \mid k \geq 1\}$ and its minimal automaton \mathcal{A} . We know that $M(\mathcal{A}) = \{\text{id}, f_a, f_b\}$ and $M(L) = \{[\lambda]_{\sim_L}, [a]_{\sim_L}, [b]_{\sim_L}\}$. It is obvious that φ defined by

$\varphi(\text{id}) = [\lambda]_{\sim_L}$, $\varphi(f_a) = [a]_{\sim_L}$, $\varphi(f_b) = [b]_{\sim_L}$ is a monoid isomorphism.

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We say that a semigroup S satisfies the equation $u = v$, $u, v \in A^+$ if $\varphi(u) = \varphi(v)$ for every morphism $\varphi : A^+ \rightarrow S$.

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We say that a variety \mathbf{V} is defined (ultimately defined) by equations $u_n = v_n$, $n > 0$ if S lies in \mathbf{V} iff S satisfies the equations $u_n = v_n$ for every $n > 0$ (for every n large enough).

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Example

The variety of finite commutative semigroups is defined by the equation $xy = yx$.

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We say that M is \mathcal{J} -trivial if $a \mathcal{J} b \Rightarrow a = b$.

Theorem

The variety \mathbf{J} of finite \mathcal{J} -trivial monoids is ultimately defined by the equations $(xy)^n x = (xy)^n = y(xy)^n$.

Thank you for your attention!