

Gabriel's Theorem

Part I

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Introduction

- Goal: Gabriel's theorem
 - Formulate
 - Partially prove (in part II)

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 - Partially prove (in part II)
- In this part:
 - Basic definitions - quivers, representations, underlying graphs, ...
 - Dynkin diagrams, Euclidean diagrams
 - Lemmas and theorems needed for the proof of Gabriel's theorem

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- Let $U, W \subseteq V$ be subspaces of V

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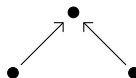
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 - more subspaces - for three still finitely many; for four infinitely many
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- Gabriel’s theorem - “When do we have only finitely many indecomposable possibilities up an isomorphism”

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Definition (Quiver)

A **quiver** is a quadruple (Q_0, Q_1, s, t) , where

- Q_0 is a finite set of **vertices**,
- Q_1 is a finite set of **arrows**,
- $s : Q_1 \rightarrow Q_0$ is a map which denotes where does an arrow **start**,
- $t : Q_1 \rightarrow Q_0$ is a map which denotes where does an arrow **terminate**.

For an arrow $\alpha \in Q_1$ we sometimes write $\alpha : s(\alpha) \rightarrow t(\alpha)$.

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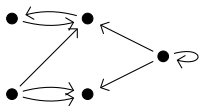
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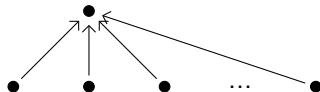
- We can naturally define **oriented paths** and **oriented cycles**.

Quivers

Examples



an arbitrary quiver



n -subspace quiver



Jordan quiver



Kronecker quiver

- Let K be a field. We fix that field throughout the presentation.
- When we talk about vector space, we mean vector space over K .

Representations

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Definition (Representation)

Let Q be a quiver. A **representation** of Q is a collection

$$X = (X_i, X_\alpha)_{i \in Q_0, \alpha \in Q_1},$$

where:

- X_i is a vector space for each vertex $i \in Q_0$,
- $X_\alpha : X_{s(\alpha)} \rightarrow X_{t(\alpha)}$ is a linear map for each arrow $\alpha \in Q_1$.

A representation is **finite dimensional** if each vector space X_i is finite dimensional.

Morphisms

Definition (Morphism)

Let Q be a quiver. Let X, Y be representations of Q .

A **morphism** $\phi : X \rightarrow Y$ of these representations is a collection

$$\phi = (\phi_i)_{i \in Q_0}$$

of linear maps $\phi_i : X_i \rightarrow Y_i$ for each vertex i , such that for each arrow α the following diagram commutes:

$$\begin{array}{ccc} X_{s(\alpha)} & \xrightarrow{\phi_{s(\alpha)}} & Y_{s(\alpha)} \\ \downarrow X_\alpha & & \downarrow Y_\alpha \\ X_{t(\alpha)} & \xrightarrow{\phi_{t(\alpha)}} & Y_{t(\alpha)} \end{array}$$

(That is such that
 $Y_\alpha \phi_{s(\alpha)} = \phi_{t(\alpha)} X_\alpha$)

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$$\begin{array}{ccccc} X_i & \xrightarrow{\phi_i} & Y_i & \xrightarrow{\psi_i} & Z_i \\ \downarrow X_\alpha & & \downarrow Y_\alpha & & \downarrow Z_\alpha \\ X_j & \xrightarrow{\phi_j} & Y_j & \xrightarrow{\psi_j} & Z_j \end{array}$$

Morphisms

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- The **composition** of two morphisms $\phi, \psi : X \rightarrow Y$ is given by

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- There is an **identity morphism** $\text{id}_X : X \rightarrow X$ given by

$$(\text{id}_X)_i = \text{id}_{X_i}$$

The category of representations

- For a given quiver Q we have
 - representations of Q
 - morphisms between representations of Q
 - morphisms can be composed and an identity morphism exists

Definition (The category of representations)

For a quiver Q we denote by $\text{Rep}_K(Q)$ a category of representations of Q where

- objects are representations of Q ,
- morphisms are the morphisms we have defined before.

We denote by $\text{rep}_K(Q)$ the full subcategory with objects the finite dimensional representations.

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- Let X, Y be two representations of a quiver Q .
- We call X a **subrepresentation** of Y and write $X \subseteq Y$ if
 - X_i is a subspace of Y_i for each vertex i
 - $X_\alpha(x) = Y_\alpha(x)$ for each arrow α and $x \in X_{s(\alpha)}$.
 - (i. e. subrepresentation is a morphism of inclusions)

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- The **dimension vector** of a finite dimensional representation X is a vector $\dim X$ in \mathbb{Z}^{Q_0} with $(\dim X)_i = \dim X_i$.

Simple representations

Definition (Simple representation)

A representation X is **simple** if it is non-zero and has no proper subrepresentations (i. e. if $Y \subsetneq X$, then Y is zero representation).

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Definition ($S(i)$)

Let Q be a quiver and $i \in Q_0$ be a vertex. We define a representation $S(i)$ by

$$S(i)_j = \begin{cases} K & \text{if } j = i, \\ 0 & \text{if } j \neq i, \end{cases} \quad \text{and} \quad S(i)_\alpha = 0$$

for $j \in Q_0$ and $\alpha \in Q_1$. This representation is simple.

Simple representations

Lemma

- Let X be a representation of a quiver Q and $i \in Q_0$ is a vertex.
- Suppose that $X_i \neq 0$ and $X_\alpha = 0$ for each arrow $\alpha \in Q_1$ starting at i .

Then representation $S(i)$ is a subrepresentation of X .

Proof.

We need to verify that

- $S(i)_j$ is a subspace of X_j for each vertex j
 - $0 \subseteq X_j$ for $j \neq i$
 - $K \subseteq X_i$
- $0 = S(i)_\alpha(x) = X_\alpha(x)$ for each $\alpha \in Q_1$ and $x \in S(i)_{s(\alpha)}$
 - if $s(\alpha) = i$, we have $X_\alpha = 0$ by assumption
 - for $s(\alpha) \neq i$ we have $S(i)_{s(\alpha)} = 0$



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Lemma

Let

- *Q be a quiver with no oriented cycles,*
- *S be a simple representation of Q .*

Then there exists a unique vertex $i \in Q_0$ such that $S \cong S(i)$.

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Take the longest “path of non-zero linear maps” in S . The last vertex of that path is the desired vertex i .

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- By the previous lemma $S(i)$ is a subrepresentation of S .
- S is simple, so $S \cong S(i)$.
- The uniqueness of the vertex i is obvious.



Direct sums

Definition

Let X^1, \dots, X^r be representations of a quiver Q . A **direct sum**

$$X = X^1 \oplus X^2 \oplus \dots \oplus X^r$$

is a representation given by direct summation in each vertex. That is

$$X_i = X_i^1 \oplus \dots \oplus X_i^r \text{ for each vertex } i.$$

The linear maps are defined componentwise, that is

$$X_\alpha = (X_\alpha^1, \dots, X_\alpha^r) \text{ for each arrow } \alpha.$$

Definition

- If a representation X can be written as a direct sum of X^1, \dots, X^r , we call $X^1 \oplus \dots \oplus X^r$ a **decomposition** of X .
- If X can not be written as a direct sum of non-zero representations, we say X is **indecomposable**.

Theorem (Krull-Schmidt)

Let X be a finite dimensional representation. Then there exists a decomposition

$$X = (X^1)^{a_1} \oplus (X^2)^{a_2} \oplus \dots \oplus (X^r)^{a_r}$$

with X^i pairwise non-isomorphic and indecomposable.

The decomposition is unique, i. e. if

$$X = (Y^1)^{b_1} \oplus (Y^2)^{b_2} \oplus \dots \oplus (Y^s)^{b_s}$$

is another decomposition, then $r = s$ and after reordering $X^i \cong Y^i$, $a_i = b_i$.

Definition

Let Q be a quiver. We say Q is of **finite representation type** if there exists up to an isomorphism only finitely many indecomposable representations of Q .

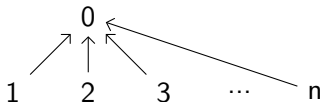
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- Gabriel's theorem classifies the quivers which are of finite representation type.

Example - decomposition

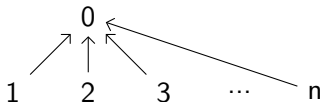
- Let X be a representation of the n -subspace quiver.



- Then X admits a unique decomposition $X = X' \oplus X(1) \oplus X(2) \oplus \cdots \oplus X(n)$ such that
 - $X(i)$ is a direct sum of copies of $S(i)$ for $1 \leq i \leq n$,
 - X' is a subspace representation, i.e. each map $X_{\alpha_i} : X'_i \rightarrow X'_0$ is injective.

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- $X(1) \oplus X(2) \oplus \cdots \oplus X(n)$ is a representation which has $\text{Ker } X_{\alpha_i}$ in a vertex i .

Underlying graph

- By a **finite graph** we mean a quiver without an orientation, i. e. a non-oriented graph with multiple edges and loops.
- Let Q be a quiver. By \overline{Q} we denote a graph created from Q by forgetting the orientation. We call \overline{Q} an **underlying graph** of Q .

Underlying graph

- By a **finite graph** we mean a quiver without an orientation, i. e. a non-oriented graph with multiple edges and loops.
- Let Q be a quiver. By \overline{Q} we denote a graph created from Q by forgetting the orientation. We call \overline{Q} an **underlying graph** of Q .
- We will now work with an arbitrary graph Γ .
- We will define a symmetric bilinear form and a quadratic form for Γ .

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- We define a symmetric bilinear form $(-, -) : \mathbb{Z}^n \rightarrow \mathbb{Z}$ for Γ by

$$(e_i, e_j) = \begin{cases} -d_{ij} & \text{if } i \neq j, \\ 2 - d_{ii} & \text{if } i = j. \end{cases}$$

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$$(x, y) = (x_1, x_2, \dots, x_n) \begin{pmatrix} 2 - d_{11} & -d_{12} & -d_{13} & \dots & -d_{1n} \\ -d_{12} & 2 - d_{22} & -d_{23} & \dots & -d_{2n} \\ -d_{13} & -d_{23} & 2 - d_{33} & \dots & -d_{3n} \\ \vdots & & & \ddots & \vdots \\ -d_{1n} & -d_{2n} & -d_{3n} & \dots & 2 - d_{nn} \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{pmatrix}$$

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- We also define a quadratic form $q : \mathbb{Z}^n \rightarrow \mathbb{Z}$ for Γ by

$$q(x) = \sum_{i=1}^n x_i^2 - \sum_{i \leq j} d_{ij} x_i x_j = \sum_{i=1}^n (1 - d_{ii}) x_i^2 - \sum_{i < j} d_{ij} x_i x_j$$

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- Note that $q(x) = \frac{1}{2}(x, x)$. Also $(x, y) = q(x + y) - q(x) - q(y)$.
- That means Γ , q and $(-, -)$ determine each other.

Definition

- We define **radical** of the form $q : \mathbb{Z}^n \rightarrow \mathbb{Z}$ by

$$\text{rad } q = \{x \in \mathbb{Z}^n \mid (x, -) = 0\}$$

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- A vector $x \in \mathbb{Z}^n$ is **sincere** if $x_i = 0$ for all i
- We say that q is
 - **positive definite** if $q(x) > 0$ for all non-zero $x \in \mathbb{Z}^n$
 - **positive semi-definite** if $q(x) \geq 0$ for all $x \in \mathbb{Z}^n$

Lemma

Let

- Γ be connected finite graph (and $(-, -)$, q are defined as before)
- $y \in \mathbb{Z}^n$ be a positive radical vector, i. e.
 - $y_i \geq 0$ for all i
 - $(x, y) = 0$ for all $x \in \mathbb{Z}^n$

Then

- y is sincere (i. e. $y_i \neq 0$ for all i)
- q is positive semi-definite
- $q(x) = 0 \iff x \in \mathbb{Q}y \iff x \in \text{rad } q \quad (\text{for all } x \in \mathbb{Z}^n)$

Finite graphs

proof of the lemma

- We know that Γ is connected and y is positive radical vector.
- We want to prove that y is sincere.

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 - Then $\sum_{i \neq j} d_{ij}y_j = 0$.
 - So $y_j = 0$ for all j such that i and j are joined by at least one edge.

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 - But we assumed y to be non-zero.
 - So y has to be sincere.

Finite graphs

proof of the lemma

- We know that y is sincere and positive and $0 = (e_i, y) = (2 - 2d_{ii})y_i - \sum_{j \neq i} d_{ij}y_j$ for every i
- We want to prove that q is positive semi-definite.

Finite graphs

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Finite graphs

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$$q(x) = \sum_i (1 - d_{ii})x_i^2 - \sum_{i < j} d_{ij}x_i x_j = \sum_i (2 - 2d_{ii})y_i \frac{1}{2y_i} x_i^2 - \sum_{i < j} d_{ij}x_i x_j$$

Finite graphs

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$$\begin{aligned} q(x) &= \sum_i (1 - d_{ii})x_i^2 - \sum_{i < j} d_{ij}x_i x_j = \sum_i (2 - 2d_{ii})y_i \frac{1}{2y_i} x_i^2 - \sum_{i < j} d_{ij}x_i x_j \\ &= \sum_{i \neq j} \frac{d_{ij}y_j}{2y_i} x_i^2 - \sum_{i < j} d_{ij}x_i x_j \end{aligned}$$

Finite graphs

proof of the lemma

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Finite graphs

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Finite graphs

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Finite graphs

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Finite graphs

proof of the lemma

- We know that $q(x) = \sum_{i < j} d_{ij} \frac{y_i y_j}{2} \left(\frac{x_i}{y_i} - \frac{x_j}{y_j} \right)^2 \geq 0$, Γ is connected and y is radical
- We want to prove that $q(x) = 0 \iff x \in \mathbb{Q}y \iff x \in \text{rad } q$

Finite graphs

proof of the lemma

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Finite graphs

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Finite graphs

proof of the lemma

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- That means $x \in \mathbb{Q}y$.
- If $x \in \mathbb{Q}y$ then $x \in \text{rad } q$ since $y \in \text{rad } q$.

Finite graphs

proof of the lemma

- We know that $q(x) = \sum_{i < j} d_{ij} \frac{y_i y_j}{2} \left(\frac{x_i}{y_i} - \frac{x_j}{y_j} \right)^2 \geq 0$, Γ is connected and y is radical
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- If $q(x) = 0$ then $\frac{x_i}{y_i} = \frac{x_j}{y_j}$ for all i, j such that i and j are joined by an edge. Because Γ is connected, it holds for all i, j .
- That means $x \in \mathbb{Q}y$.
- If $x \in \mathbb{Q}y$ then $x \in \text{rad } q$ since $y \in \text{rad } q$.
- If $x \in \text{rad } q$ then $q(x) = \frac{1}{2}(x, x) = 0$.

We proved the lemma:

Lemma

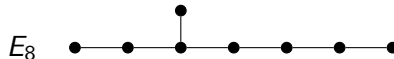
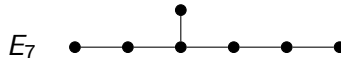
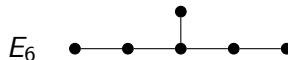
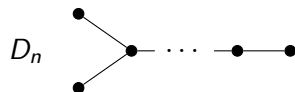
Let

- Γ be connected finite graph (and $(-, -)$, q are defined as before)
- $y \in \mathbb{Z}^n$ be a positive radical vector, i. e.
 - $y_i \geq 0$ for all i
 - $(x, y) = 0$ for all $x \in \mathbb{Z}^n$

Then

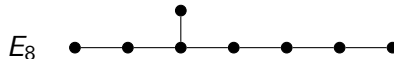
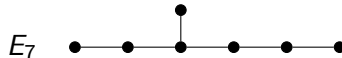
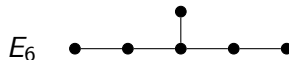
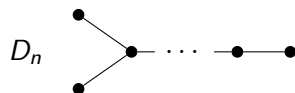
- y is sincere (i. e. $y_i \neq 0$ for all i)
- q is positive semi-definite
- $q(x) = 0 \iff x \in \mathbb{Q}y \iff x \in \text{rad } q$ (for all $x \in \mathbb{Z}^n$)

Dynkin diagrams



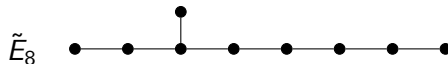
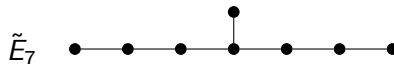
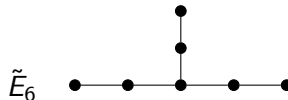
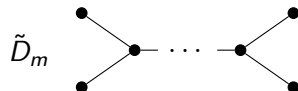
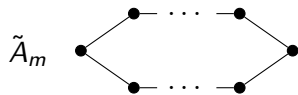
- A_n and D_n have n vertices.

Dynkin diagrams



- A_n and D_n have n vertices.
- Gabriel's theorem: A quiver Q is of finite representation type \iff The underlying graph \overline{Q} is a Dynkin diagram.

Euclidean diagrams



- \tilde{A}_m and \tilde{D}_m have $m + 1$ vertices.
- Euclidean diagrams are the smallest graphs that are not Dynkin, i. e. every non-Dynkin graph has some Euclidean subgraph.

Theorem

Let Γ be a connected graph and q the corresponding quadratic form.

- (1) Γ is a Dynkin diagram $\iff q$ is positive definite*
- (2) Γ is an Euclidean diagram $\iff q$ is positive semi-definite but not positive definite. In that case there is a unique positive vector δ such that $\text{rad } q = \mathbb{Z}\delta$*

Theorem

Let Γ be a connected graph and q the corresponding quadratic form.

- (1) Γ is a Dynkin diagram $\iff q$ is positive definite
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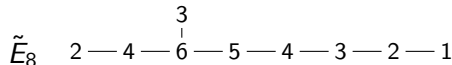
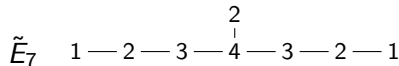
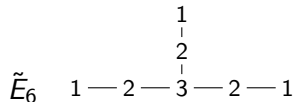
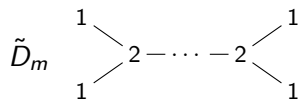
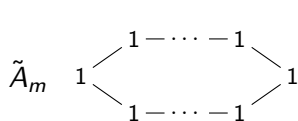
- We will prove the theorem in three steps.
 - a) We prove " \implies " in (2).
 - b) We prove " \implies " in (1).
 - c) We prove that if Γ is neither Dynkin nor Euclidean then $q(x) < 0$ for some $x \in \mathbb{Z}^n$.

Finite graphs classification

proof of the theorem

a) If Γ is Euclidean then q is positive semi-definite but not positive and there exists $\delta \in \mathbb{Z}^n$ such that $\text{rad } q = \mathbb{Z}^n \delta$.

- Each vertex i is now marked with the value δ_i of δ :

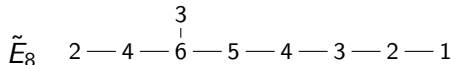
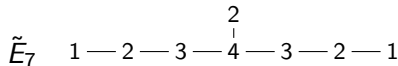
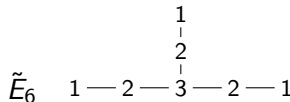
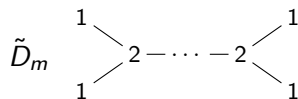
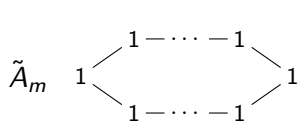


Finite graphs classification

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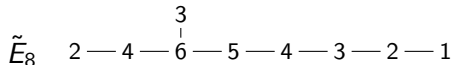
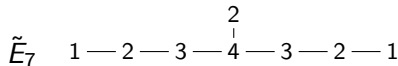
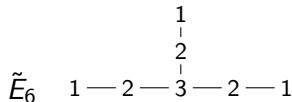
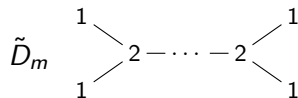
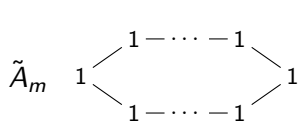
- We check $\delta \in \text{rad } q$: $0 \stackrel{?}{=} (e_i, \delta) = (2 - 2d_{ii})\delta_i - \sum_{j \neq i} d_{ij}\delta_j = 2\delta_i - \sum_{j: d_{ij} \neq 0} \delta_j$

Finite graphs classification

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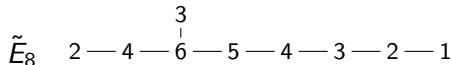
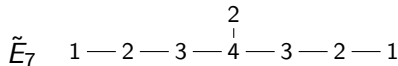
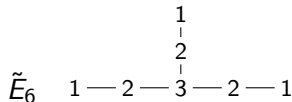
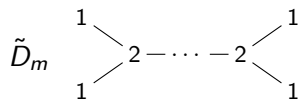
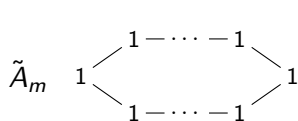
- We check: $0 \stackrel{?}{=} 2\delta_i - \sum_{j: d_{ij} \neq 0} \delta_j$ (for every i)

Finite graphs classification

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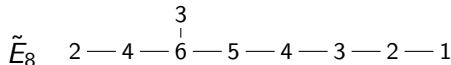
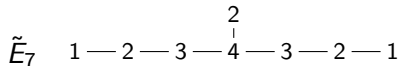
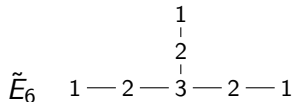
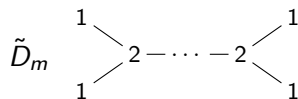
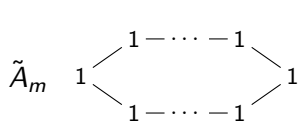
- We check: $0 = 2\delta_i - \sum_{j: d_{ij} \neq 0} \delta_j$ (for every i)
- For \tilde{A}_2 ($1 = 1$): $(2 - 2d_{ii})\delta_i - \sum_{j \neq i} d_{ij}\delta_j = 2\delta_i - 2\delta_j = 0$, where $i \neq j$

Finite graphs classification

proof of the theorem

- a) If Γ is Euclidean then q is positive semi-definite but not positive and there exists $\delta \in \mathbb{Z}^n$ such that $\text{rad } q = \mathbb{Z}^n \delta$.

- Each vertex i is now marked with the value δ_i of δ :



- We check: $0 = 2\delta_i - \sum_{j: d_{ij} \neq 0} \delta_j$ (for every i)
- So we have positive $\delta \in \text{rad } q$. Claim now follows from the lemma.

Finite graphs classification

proof of the theorem

- b) If Γ is Dynkin then q is positive definite.
- This follows from a), because:

Finite graphs classification

proof of the theorem

b) If Γ is Dynkin then q is positive definite.

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- There exists a Euclidean diagram $\tilde{\Gamma}$ such that Γ is obtained by deleting some vertex e (WLOG $e=0$).

Finite graphs classification

proof of the theorem

b) If Γ is Dynkin then q is positive definite.

- This follows from a), because:

- There exists a Euclidean diagram $\tilde{\Gamma}$ such that Γ is obtained by deleting some vertex e (WLOG $e=0$).
- For $\tilde{\Gamma}$ we have $q_{\tilde{\Gamma}}(x) = \frac{1}{2}(x, x)_{\tilde{\Gamma}} > 0$ for every non-zero vector $x \in \mathbb{Z}^n$ such that $x_e = 0$ (because $x \notin \mathbb{Z}\delta$)

Finite graphs classification

proof of the theorem

b) If Γ is Dynkin then q is positive definite.

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 - There exists a Euclidean diagram $\tilde{\Gamma}$ such that Γ is obtained by deleting some vertex e (WLOG $e=0$).
 - For $\tilde{\Gamma}$ we have $q_{\tilde{\Gamma}}(x) = \frac{1}{2}(x, x)_{\tilde{\Gamma}} > 0$ for every non-zero vector $x \in \mathbb{Z}^n$ such that $x_e = 0$ (because $x \notin \mathbb{Z}\delta$)
- $x_0 = 0$, so we have

$$q_{\Gamma}(x) = \sum_{i=1}^n x_i^2 - \sum_{\substack{i,j=1 \\ i \leq j}}^n d_{ij} x_i x_j = \sum_{i=0}^n x_i^2 - \sum_{\substack{i,j=0 \\ i \leq j}}^n d_{ij} x_i x_j = q_{\tilde{\Gamma}}(x) > 0$$

Finite graphs classification

proof of the theorem

- c) If Γ is neither Dynkin nor Euclidean then $q(x) < 0$ for some $x \in \mathbb{Z}^n$
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$$q(x) = \sum_{i=1}^n (1 - d_{ii})x_i^2 - \sum_{i < j}^n d_{ij}x_i x_j \qquad (x, e_i) = (2 - 2d_{ii})x_i + \sum_{j \neq i} -d_{ij}x_j$$

$$q(2\delta + e_i) = q(2\delta) + (2\delta, e_i) + q(e_i) \leq 0 + \underbrace{(2 \sum_{j \neq i} -d_{ij}\delta_j)}_{\leq -1} + \underbrace{(1 - d_{ii})}_{\leq 1} < 0$$

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$$\Delta = \{x \in \mathbb{Z}^n \mid q(x) \leq 1\}.$$

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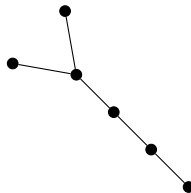
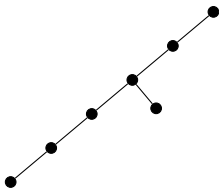
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Lemma

Let Γ be Dynkin or Euclidean. Then

- *Each e_i is a root.*
- *If $x \in \Delta$, then also $-x \in \Delta$.*
- *If $x \in \Delta$ and $y \in \text{rad } q$, then also $x + y \in \Delta$*
- *Every root is either positive or negative.*
- *For Euclidean diagram $\Delta/\text{rad } q$ is finite*
- *For Dynkin diagram Δ is finite.*



Thank you for your attention!

